

BEACON MEDICAL SYSTEMS LIMITED  
Company number **14638585**  
5 New Street Square, London, United Kingdom, EC4A 3TW

## Health and care: Data Protection Impact Assessment (DPIA)

January 2023	5.0	Claire Robinson	Create formalised Data Protection Impact Assessment.
June 2024	6.0	Claire Robinson	Review Data Protection Impact Assessment to follow NHS guidance.

### Introduction

This document details the data protection impact assessment (DPIA) carried out by Beacon Medical Systems Ltd with regard to the use of Pando as a mobile and/or web application at any given NHS trust, hospital, community care setting, GP surgery or other organisation.

### Purpose:

The purpose of a data protection impact assessment is to identify any new collection or uses of potentially sensitive data, to assess the possible risks associated with these and to allow organisations to make an informed decision about the technologies they employ with regards to data collection, use, or sharing.

### Scope

This data protection impact assessment relates to the use of Pando as a mobile and/ or web application within a clinical /healthcare/community setting. It refers to the current data protection laws as they stand, although it will continue to be reviewed regularly to take into consideration ongoing regulatory change. Forward Clinical Ltd reserves the right to update this data protection impact assessment as necessary, particularly with regard to the changing landscape of data protection law.

### Background

A [data protection impact assessment \(DPIA\)](#) will help you to identify and mitigate potential data protection risks to an acceptable level before using or sharing (processing) data that identifies individuals (personal data).

A DPIA will also help you meet a number of data protection legal requirements including:

- [Data protection by design](#) - privacy and data protection issues must be considered at the start, or in the design phase, of a new system, product or process, then continuously while it exists.
- [Accountability](#) - your organisation is responsible for showing how it complies with data protection laws.
- [Transparency](#) - personal data must be used and shared in a transparent way.

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- [Security](#) - adequate measures need to be in place to protect data. This can range from policies and procedures to technical security measures such as encryption of data.

DPIAs are mandatory when there is a high risk to individuals, such as when using the health and care data of a large number of people. However, health and care organisations are strongly advised to complete a DPIA when using and sharing personal data in a new or substantially changed way.

A DPIA involves a risk assessment. If a high-level risk remains after applying mitigations, then you must consult with the Information Commissioner's Office (ICO) for further advice before starting to collect, use or share the data.

This DPIA is a live document – we will update it if there are any changes to:

- the purpose - why we are proposing to use or share personal data
- the manner - how we will use or share the data
- who is involved - the organisations using and sharing personal data

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## 1 Screening questions

### 1.1 Do you need to do a DPIA?

In summary, Pando is a smartphone application and communication tool for clinical teams. Below are the key features/uses of Pando.

- Secure, compliant instant messaging, including sharing of photos and files
- Live task management and workflow tracking
- Sharable patient profiles & patient lists
- Hospital directory function
- Forums feature.
- Video consultations for some clients (see separate DPIA).

We have considered whether we need a DPIA and as the personal data used on the application securely transfers and stores private health records and is likely to raise privacy concerns, we have identified a need to create and update a DPIA for the Pando application.

### 1.2 Summary of how data will be used and shared

Pando do not have a direct relationship with the data subject and the data subjects have as much control over their data when their clinician uses Pando as they do in any other situation where their Article 9 data is handled by their healthcare provider. The stipulated and expected use is instant messaging not as the core patient record.

Pando operates a Client-Server model – sharing data, including personal patient data, over SSL encrypted links (256-bit) using Internet connections provided by Trust (or other appropriate Wi-Fi when clinicians are roaming on-site) or 3G/4G/5G. Data is securely transmitted, processed and stored on the Pando infrastructure. Retention is governed by the appropriate retention schedules.

### 1.3 Description of the data

<input checked="" type="checkbox"/>	Personal data [individuals can be identified]
<input type="checkbox"/>	Pseudonymised data [identifiers, for example name or NHS number, are replaced with a unique number or code (a pseudonym)]
<input checked="" type="checkbox"/>	Anonymous data [not identifiable, for example trends or statistics]

## 2 Why do you need the data?

### 2.1 What are the purposes and benefits for using or sharing the data?

Pando is a smartphone application and communication tool for clinical teams. Pando has been purpose-built for medical staff and is designed to support high-quality, secure and compliant instant

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messaging for individuals or groups. Available for both iOS and Android, the app has a few simple key features:

- Secure, compliant instant messaging, including sharing of photos and files
- Live task management and workflow tracking
- Sharable patient profiles & patient lists
- Hospital directory function
- Forums feature.
- Video consultations for some clients (see separate DPIA).

Modern clinical and community care is fast-paced, and increasingly complex as clinical teams deal with a higher volume and turnover of patients whose care typically involves multiple tests and interventions. As a result, teams must collaborate ever more closely to deliver high quality care. This is currently difficult to achieve since hospital communication systems rely on technology from the 1970s such as pagers, telephone switchboard and printed lists of patients; our belief as clinicians ourselves, and from survey data collected from over 120 doctors, is that these tools are not fit for purpose in the modern NHS. Busy NHS clinicians (and their associated supporting colleagues) are rarely desk-bound with immediate access to a desktop PC or laptop whilst delivering, managing, or planning patient care.

Messaging systems such as WhatsApp are suboptimal because of the IG and data protection challenges that they pose. It is difficult to ensure that their use complies NHS DSP Toolkit Guidelines, and the Data Protection Act 2018. Meta who owns WhatsApp have been subject to many privacy issues and fined by the regulatory authorities for violations.

Pando additionally provides high-levels of technical data security assurance such as high levels of encryption in transit and at rest (minimum AES 256-bit standard for data encryption in-transit and at-rest). In transit data is encrypted and transferred via HTTPS (TLS v 1.2 min) protocol. When transmitting messages devices use an SSL handshake with 2048-bit RSA keys to encrypt the socket connection to Pando servers. The infrastructure supports the sync of RSA public keys. To further enhance security OWASP certificate pinning has been implemented and access to Pando servers is only possible via SSH keys.

### 3 What data do you want to use or share?

#### 3.1 Can you use anonymous data for your purposes? If not, explain why.

<input type="checkbox"/>	Yes
<input checked="" type="checkbox"/>	No
<input type="checkbox"/>	Unsure

No, anonymous data wouldn't be suitable for the purposes of Pando. Pando is designed to assist healthcare professionals in their clinical workplace, which involves handling sensitive healthcare data. While anonymous data might be useful in some contexts, it wouldn't serve our intended purpose, which is to facilitate communication and collaboration among healthcare professionals regarding patient care.

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The data handled by Pando is directly related to patient care and contains sensitive information about individuals' health conditions and treatments. This data is necessary for healthcare professionals to provide effective care to their patients.

Pando remains the data processor for the patient's personal or identifiable data, and it is encrypted when stored by us.

**3.2 Which types of personal data do you need to use and why?**

<input checked="" type="checkbox"/>	Forename	<input checked="" type="checkbox"/>	Physical description, for example height	<input checked="" type="checkbox"/>	Photograph / picture / of people
<input checked="" type="checkbox"/>	Surname	<input checked="" type="checkbox"/>	Phone number	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	Location data e.g. IP address (aggregated) Other
<input checked="" type="checkbox"/>	Address	<input checked="" type="checkbox"/>	Email address	<input type="checkbox"/>	Audio recordings
<input checked="" type="checkbox"/>	Postcode full	<input checked="" type="checkbox"/>	GP details	<input checked="" type="checkbox"/>	Video recordings
<input checked="" type="checkbox"/>	Postcode partial	<input checked="" type="checkbox"/>	Legal representative name (personal representative)	<input checked="" type="checkbox"/>	Other Free form notes that may include other personal data.
<input checked="" type="checkbox"/>	Date of birth	<input checked="" type="checkbox"/>	NHS number	<input type="checkbox"/>	None
<input checked="" type="checkbox"/>	Age	<input checked="" type="checkbox"/>	National insurance number		
<input checked="" type="checkbox"/>	Gender	<input checked="" type="checkbox"/>	Other numerical identifier		

**3.3 Data protection laws mean that some data is considered particularly sensitive. This is called special category data. Data that relates to criminal offences is also considered particularly sensitive. Which types of sensitive data do you need to use or share?**

Type of data		Reason why this is needed (leave blank if not applicable)
<input checked="" type="checkbox"/>	Information relating to an individual's physical or mental health or condition, for example information from health and care records	Pando is designed to be used by trained healthcare professionals in their clinical workplace.  Patients would expect their data to be processed as part of their ongoing care and Pando is a tool that assists healthcare professionals.
<input type="checkbox"/>	Biometric information in order to uniquely identify an	

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	individual, for example facial recognition	
<input checked="" type="checkbox"/>	Genetic data, for example details about a DNA sample taken as part of a genetic clinical service	This data is not needed however may be processed when necessary to provide individual care.
<input checked="" type="checkbox"/>	Information relating to an individual's sexual life or sexual orientation	This data is not needed however may be processed when necessary to provide individual care.
<input checked="" type="checkbox"/>	Racial or ethnic origin	This data is not needed however may be processed when necessary to provide individual care.
<input type="checkbox"/>	Political opinions	
<input type="checkbox"/>	Religious or philosophical beliefs	
<input type="checkbox"/>	Trade union membership	
<input type="checkbox"/>	Information relating to criminal or suspected criminal offences	

### 3.4 Who are the individuals that can be identified from the data?

<input checked="" type="checkbox"/>	Patients or service users
<input checked="" type="checkbox"/>	Carers
<input checked="" type="checkbox"/>	Staff
<input type="checkbox"/>	Wider workforce
<input type="checkbox"/>	Visitors
<input type="checkbox"/>	Members of the public
<input type="checkbox"/>	Other

### 3.5 Where will your data come from?

The data for Pando primarily comes from healthcare professionals using the platform in clinical settings. The data includes personal patient data, which is transmitted, processed, and stored on the Pando infrastructure.

The data originates from interactions between healthcare professionals, such as messages, notes, and discussions related to patient care. This can include sensitive information about patients' health conditions, treatments, medications, test results, and other relevant clinical data.

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### 3.6 Will you be linking any data together?

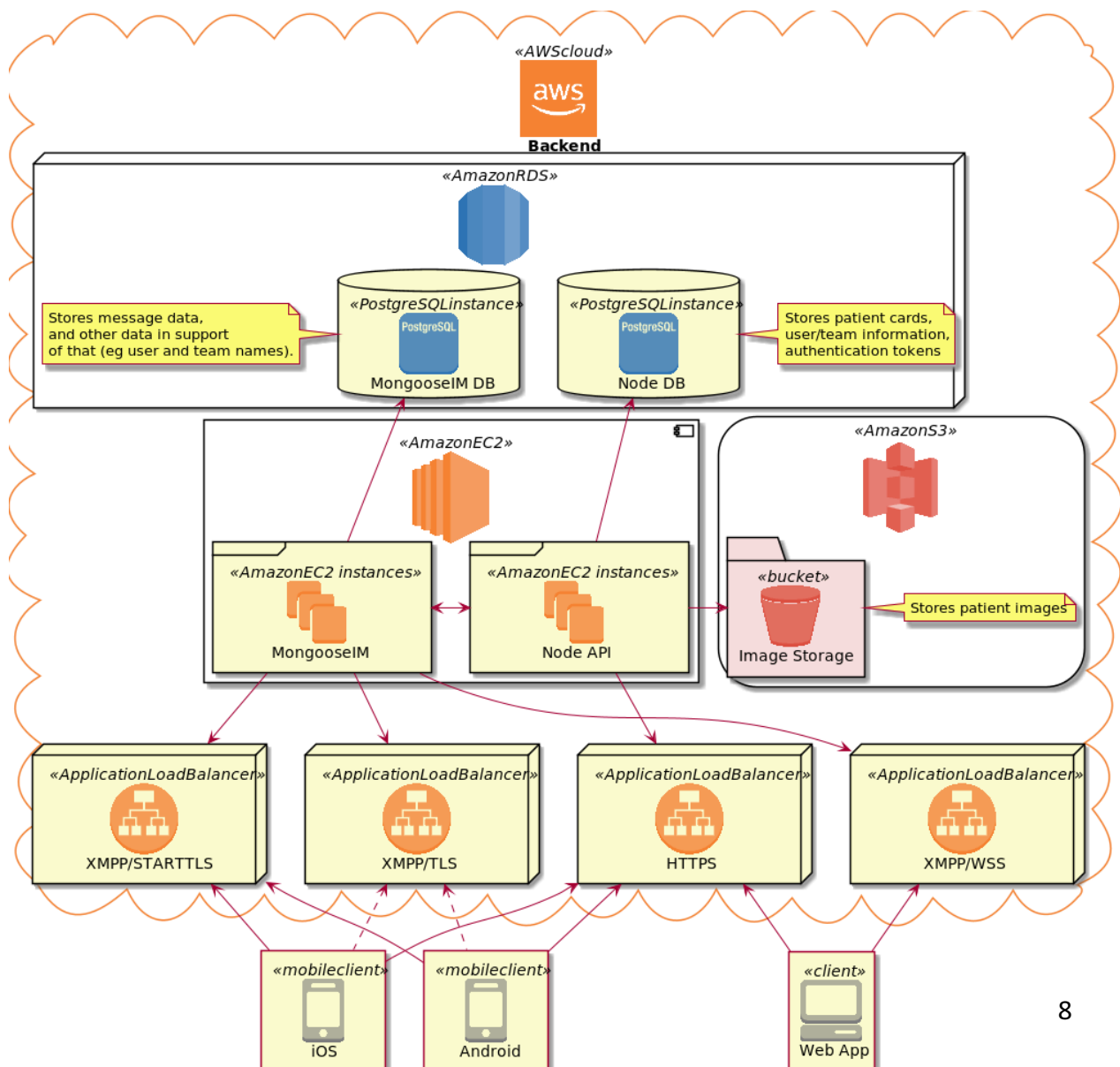
<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No
<input type="checkbox"/>	Unsure

### 3.7 Will it become possible, as a result of linking data, to be able to identify individuals who were not already identifiable from the original dataset?

<input type="checkbox"/>	Yes
<input checked="" type="checkbox"/>	No
<input type="checkbox"/>	Unsure

Data is linked in order to validate an NHS user using their email address.

## 4 Where will data flow?





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## Tabular Data Flows and Security

<b>Flow Ref</b>	<b>Flow Name</b>	<b>From</b>	<b>To</b>	<b>Method</b>	<b>Security Controls</b>	<b>Storage</b>
<b>1</b>	<b>Image Access</b>	<b>Node API</b>	<b>Apps</b>	<b>System Access (HTTPS)</b>	<b>TLS / ABAC</b>	<b>On-device cache</b>
<b>2</b>	<b>Image Send</b>	<b>Apps</b>	<b>Node API</b>	<b>System Transfer (HTTPS)</b>	<b>TLS / ABAC</b>	<b>AWS S3 Encrypted Storage</b>
<b>3</b>	<b>Message Transmit</b>	<b>Apps</b>	<b>MongooselM</b>	<b>System Transfer (XMPP)</b>	<b>TLS / ABAC</b>	<b>AWS RDS Encrypted Database</b>
<b>4</b>	<b>Message Receive</b>	<b>MongooselM</b>	<b>Apps</b>	<b>System Transfer (XMPP)</b>	<b>TLS / ABAC</b>	<b>On-device cache</b>
<b>5</b>	<b>Metadata Access</b>	<b>Node API</b>	<b>Apps</b>	<b>System Access</b>	<b>TLS / ABAC</b>	<b>On-device cache</b>
<b>6</b>	<b>Metadata Store</b>	<b>Apps</b>	<b>Node API</b>	<b>System Transfer</b>	<b>TLS / ABAC</b>	<b>AWS RDS Encrypted Database</b>
<b>7</b>	<b>Patient Data Access</b>	<b>Node API</b>	<b>Apps</b>	<b>System Access</b>	<b>TLS / ABAC</b>	<b>On-device cache</b>
<b>8</b>	<b>Patient Data Store</b>	<b>Apps</b>	<b>Node API</b>	<b>System Transfer</b>	<b>TLS / ABAC</b>	<b>AWS RDS Encrypted Database</b>

### General Notes:

- Pando uses TLS to provide Confidentiality, Integrity, and System-level Authentication for all connections both internally and externally.
- User-level Authentication operates by limited-lifetime access tokens which are proven via OAuth or by an authentication token code sent via email.
- Pando uses fine-grained access controls based on Identity, Network and Team membership, Patient assignment, and previous sharing actions such as Image messages, forming an Attribute-Based Access Control system with a bespoke policy driven by code.
- The on-device cache may be encrypted or in-memory only, depending on platform (see notes).

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1. Image Access - The mobile and web applications access images in messages by reference, requesting them from our API. Such access is communicated over TLS, and access-controls are in place within the API. Images are stored encrypted on the AWS S3 system, and after access may be held in a short-lived on-device cache.
2. Image Send - Pando Apps upload images either directly from the camera subsystem or via the Image Gallery. The sender sets access-control requirements in terms of Team or Identity. Images uploaded to Patient cards have access controls implicitly based on access to the Patient. Images held within the Image Gallery are held on the device within the application filesystem area.
3. Message Transmit - Pando Apps send messages via XMPP. Message destinations are checked under RBAC rules. Messages are archived under long-term retention policy on an AWS RDS encrypted database and may be held in a short-lived on-device cache.
4. Message Receive - Pando Apps receive messages via XMPP. Message destinations are checked under RBAC rules. Messages are archived under long-term retention policy on an AWS RDS encrypted database and may be held in a short-lived on-device cache.
5. Metadata Access - Metadata about images, group membership, etc is accessed via the Node API by Pando Apps. The metadata includes the information used for access control decisions. The information may be held in a short-lived on-device cache.
6. Metadata Store - When storing changes to metadata, Apps send this information to the Node API where (subject to access controls) it is stored in an AWS RDS encrypted database.
7. Patient Data Access - Data about Patients, etc is accessed in the same way as the metadata. The information may be held in a short-lived on-device cache.
8. Patient Data Store - When storing patient data, Apps send this information to the Node API where (subject to access controls) it is stored in an AWS RDS encrypted database.

**4.1 Confirm that your organisation’s information asset register (IAR), record of processing activities (ROPA) or your combined information assets and flows register (IAFR) has been updated with the flows described above.**

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No
<input type="checkbox"/>	Unsure

**4.2 Will any data be shared outside of the UK?**

<input type="checkbox"/>	Yes
<input checked="" type="checkbox"/>	No
<input type="checkbox"/>	Unsure

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## 5 Is the intended use of the data lawful?

### 5.1 Under Article 6 of the UK General Data Protection Regulation (UK GDPR) what is your lawful basis for processing personal data?

<input type="checkbox"/>	(a) We have consent
<input type="checkbox"/>	(b) We have a contractual obligation
<input type="checkbox"/>	(c) We have a legal obligation
<input checked="" type="checkbox"/>	(e) We need it to perform a public task  <i>6(1)(e) "...necessary for the performance of a task carried out in the public interest or in the exercise of official authority..."</i>  <i>9(2)(h) "...medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems..."</i>
<input type="checkbox"/>	(f) We have a legitimate interest

### 5.2 If you have indicated in question 3.3 that you are using special category data, what is your lawful basis under Article 9 of the UK GDPR?

<input type="checkbox"/>	(b) We need it to comply with our legal obligations for employment
<input type="checkbox"/>	(f) We need it for legal claims, to seek legal advice or judicial acts
<input type="checkbox"/>	(g) We need to comply with our legal obligations to provide information where there is a <a href="#">substantial public interest</a> , as set out in <a href="#">this list</a>
<input checked="" type="checkbox"/>	(h) We need it to comply with our legal obligations to provide or manage health or social care services
<input type="checkbox"/>	(i) We need it to comply with our legal obligations for public health
<input type="checkbox"/>	(j) We need it for archiving, research and statistics where this is in the public interest

### 5.3 What is your legal basis for using and sharing this health and care data under the common law duty of confidentiality?

<input checked="" type="checkbox"/>	<a href="#">Implied consent</a>
<input type="checkbox"/>	<a href="#">Explicit consent</a>
<input type="checkbox"/>	Section 251 support
<input type="checkbox"/>	Legal requirement
<input type="checkbox"/>	Overriding public interest

#### 5.3.1 Please provide further information or evidence.

Not applicable

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## 6 How are you keeping the data secure?

### 6.1 Are you collecting information?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

### 6.2 How is the data being collected?

The data for Pando comes from healthcare professionals using the platform in clinical settings.

Pando operates a Client-Server model – sharing data, including personal patient data, over SSL encrypted links (256-bit) using Internet connections provided by Trust (or other appropriate Wi-Fi when clinicians are roaming on-site) or 3G/4G/5G.

### 6.3 Are you storing information?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

#### 6.3.1 How will information be stored?

Storage location	
<input type="checkbox"/>	Physical storage, for example filing cabinets, archive rooms etc
<input type="checkbox"/>	Local organisation servers
<input checked="" type="checkbox"/>	Cloud storage

### 6.4 Are you transferring information?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

### 6.5 How will information be transferred?

In transit data is encrypted and transferred via HTTPS (TLS 1.2 min) protocol. When transmitting messages devices use an SSL handshake with 2048-bit RSA keys to encrypt the socket connection to servers. Also supports the sync of RSA public keys, ensuring high levels of encryption. To further enhance security - OWASP certificate pinning implemented and access to Pando servers is only possible via SSH keys.

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**6.6 How will you ensure that information is safe and secure?**

Security measure	Details (leave blank if not applicable)
<input checked="" type="checkbox"/> Encryption	The data is encrypted in transit and at rest (following best practice as defined in ISO27001 ISMS).
<input checked="" type="checkbox"/> Password protection	Password protection (including BCrypt hashing) and multi factor authentication.
<input checked="" type="checkbox"/> Role based access controls (RBAC)	Access to patient identifiable data will be strictly limited.
<input checked="" type="checkbox"/> Restricted physical access	Access to data centres is strictly limited.
<input checked="" type="checkbox"/> Business continuity plans	Business Continuity and Disaster Recovery policies, procedures and testing as part of ISO 27001 preparation and alignment. .
<input checked="" type="checkbox"/> Security policies	Detailed in ISO27001 aligned ISMS.
<input checked="" type="checkbox"/> Other	DSP Toolkit to Standards Exceeded, Cyber Essentials Plus.

**6.7 How will you ensure the information will not be used for any other purposes beyond those set out in question 2.1?**

Specify the measures below which will be used to limit the purposes the data is used for.

[Put an  next to all that apply and provide details.]

Security measure	Details (leave blank if not applicable)
<input checked="" type="checkbox"/> Contract	For example, a call off contract from GCloud etc.
<input checked="" type="checkbox"/> Data processing agreement	This sets out the arrangements between a controller and processor and is legally binding.
<input checked="" type="checkbox"/> Data sharing agreement	This sets out the arrangements for sharing data between the organisations involved – it may or may not be legally binding depending on the context.
<input checked="" type="checkbox"/> Data sharing and processing agreement (DSPA)	Where appropriately completed, this is a legally binding agreement that sets out the arrangements for processing and/or sharing data, and/or joint controller arrangements.
<input checked="" type="checkbox"/> Staff training	Users complete mandatory DSP training.
<input type="checkbox"/> Other	[please state]

**7 How long are you keeping the data and what will happen to it after that time?**

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**7.1 How long are you planning to use the data for?**

All data will be stored in accordance with the Records Management Code of Practice for Health and Social Care 2021. However, we would delete the data earlier than suggested by this code if they were informed that the condition of Schedule 9(3) GDPR and s. 11(1) Data Protection Act 2018 no longer applies.

**7.2 How long do you intend to keep the data?**

Adult health records need to be kept for a minimum of 8 years from the time they were last used. The Records Management Code of Practice sets out the retention period for health and care records.

**7.3 What will happen to the data at the end of this period?**

Action	Details (leave blank if not applicable)
<input type="checkbox"/> Secure destruction (for example by shredding paper records or wiping hard drives with evidence of a certificate of destruction)	
<input type="checkbox"/> Permanent preservation by transferring the data to a Place of Deposit run by the National Archives	
<input type="checkbox"/> Transfer to another organisation	
<input type="checkbox"/> Extension to retention period	
<input type="checkbox"/> It will be anonymised and kept	
<input type="checkbox"/> The controller(s) will manage as it is held by them	
<input checked="" type="checkbox"/> Other	Review in conjunction with the data controller.

**8 How are people’s rights and choices being met?**

**8.1 How will you comply with the following individual rights (where they apply)?**

Individual right	How you will comply (or state <i>not applicable</i> if the right does not apply)
<p><b>The right to be informed</b>            The right to be informed about the collection and use of personal data.</p>	<p>We have assessed how we should inform individuals about the use of data in relation to the Pando application. We consider the communications methods below meet this obligation.</p>

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	<input checked="" type="checkbox"/>	Privacy notice(s) for all relevant organisations
	<input type="checkbox"/>	Information leaflets
	<input type="checkbox"/>	Posters
	<input type="checkbox"/>	Letters
	<input type="checkbox"/>	Emails
	<input type="checkbox"/>	Texts
	<input type="checkbox"/>	Social media campaign
	<input checked="" type="checkbox"/>	DPIA published
	<input type="checkbox"/>	Other
<input type="checkbox"/>	Not applicable	
<b>The right of access</b> The right to access details of data use and receive a copy of their personal information - this is commonly referred to as a subject access request.	Pando will assist the controller in the event of a data subject requiring access.	
<b>The right to rectification</b> The right to have inaccurate personal data rectified or completed if it is incomplete.	Pando will assist the controller in the event of a data subject requiring rectification.	
<b>The right to erasure</b> The right to have personal data erased, if applicable.	Not applicable in direct care. Pando will assist the controller if data is entered into the app in error and needs to be deleted.	
<b>The right to restrict processing</b> The right to limit how their data is used, if applicable.	Pando will act on the instructions of the controller.	
<b>The right to data portability</b> The right to obtain and re-use their personal data, if applicable.	Not applicable.	

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<b>The right to object</b> The right to object to the use and sharing of personal data, if applicable.	Unlikely to be applicable in individual care. Pando will take instruction from the data controller.
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**8.2 Will the national data opt-out need to be applied?**

<input type="checkbox"/>	Yes
<input checked="" type="checkbox"/>	No

**8.3 Will any decisions be made in a purely automated way without any human involvement (automated decision making)?**

<input type="checkbox"/>	Yes
<input checked="" type="checkbox"/>	No
<input type="checkbox"/>	Unsure

**8.4 Detail any stakeholder consultation that has taken place (if applicable).**

Various consultations with health care professionals over the last decade.

**9 Which organisations are involved?**

**9.1 List the organisation(s) that will decide why and how the data is being used and shared (controllers).**

It is important to note that Pando is not the data controller – the data controller is the employer of the user (e.g. clinic, GP surgery, hospital, care home etc.). Our users (clinicians, healthcare, care workers using the service with patients)

NHS England has advised healthcare organisations to process patient data for the delivery or administration of care under the following legal bases:

6(1)(e) "...necessary for the performance of a task carried out in the public interest or in the exercise of official authority...".

9(2)(h) "...medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems..."

For the purposes of processing patient data Pando is acting under the instructions of the user's organisation and it is the organisation (data controller) that determines the lawful basis for processing. In most cases the organisation is using Article 6 (1) (e) (processing in the exercise of official authority vested in the controller). [available here](#).

**9.2 List the organisation(s) that are being instructed to use or share the data (processors).**



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Pando acts under instruction from those listed in question 9.1, for example they are likely to be told:

- what data to collect
- who to collect data from
- how the collection is legal
- the purpose for the collection
- who to share the data with
- how long to keep the data

### **9.3 List any organisations that have been subcontracted by your processor to handle data**

Pando is hosted on London Cluster's secure ISO27001 certified AWS servers. Other organisations that act as subprocessors for the support and development of the Pando product are listed on our website.

### **9.4 Explain the relationship between the organisations set out in questions 28, 29 and 30 and what activities they do**

AWS host the data. For other details please see 9.3 and 3.6.

### **9.5 What due diligence measures and checks have been carried out on any processors used?**

<b>Due diligence measures</b>		<b>Details (leave blank if not applicable)</b>
<input checked="" type="checkbox"/>	Data Security and Protection Toolkit (DSPT) compliance	To standards exceeded.
<input checked="" type="checkbox"/>	Registered with the Information Commissioner's Office (ICO)	
<input checked="" type="checkbox"/>	Digital Technology Assessment Criteria (DTAC) assessment	

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## 10 What data protections are there and what mitigations will you put in place?

10.1 Complete the risk assessment table. Use the risk scoring table to decide on the risk score.

**Risk assessment table**

Risk ref no.	Description	Risk score* (L x I)	Mitigations	Risk score* with mitigations applied
01	<b>Staff mobile devices lost or stolen</b> – subset of PID digital records no longer secured	16	<p>(1) No PID stored permanently on individuals’ devices- images, tasks, patient profiles are at all times pulled down from our servers. Encrypted at rest and in transit.</p> <p>(2) PIN code lock-down of all mobile devices at 15 minutes maximum. Time out cannot be changed by user.</p> <p>(3) Remote Wipe function is included in common Exchange/ActiveSync environments, free on iOS/Android and EMM (Enterprise Mobile Management) systems are also available.</p>	4
02	<b>PID digital records intercepted over internet connections</b>	9	<p>(1) Server-Side Encryption (SSE), using 256-bit Advanced Encryption Standard (256-bit AES) in transit and at rest.</p> <p>(2) In transit data is encrypted and transferred via HTTPS (TLS 1.2 min) protocol. When transmitting messages devices use an SSL handshake with 2048-bit RSA keys to encrypt the socket connection to servers. Also supports the sync of RSA public keys. To further enhance security - OWASP certificate pinning implemented and access to Pando servers is only possible via SSH keys.</p> <p>(3) Strong Password policy enforced.</p>	4
03	<b>PID digital records stolen from server platform</b>	6	<p>(1) Insider-hacking threat eliminated; no readable PID by any system admin or developer (‘data privacy by default’ methodology) if an unauthorised database extraction occurs.</p> <p>(2) Internet-based hacking threat significantly reduced by SPI and application-based firewall (layer-7), automated account lockouts (security policy) after three failed attempts, strong password</p>	2

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			enforcement (security policy) and AES-256 server data encryption.  (3) Regular penetration testing carried out for both servers and smartphone application.	
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**\*Risk scoring table**

		Impact (I)				
		Negligible (1)	Low (2)	Moderate (3)	Significant (4)	Catastrophic (5)
<b>Likelihood (L)</b>	<b>Rare (1)</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>
	<b>Unlikely (2)</b>	<b>2</b>	<b>4</b>	<b>6</b>	<b>8</b>	<b>10</b>
	<b>Possible (3)</b>	<b>3</b>	<b>6</b>	<b>9</b>	<b>12</b>	<b>15</b>
	<b>Likely (4)</b>	<b>4</b>	<b>8</b>	<b>12</b>	<b>16</b>	<b>20</b>
	<b>Almost certain (5)</b>	<b>5</b>	<b>10</b>	<b>15</b>	<b>20</b>	<b>25</b>

**11 Review and sign-off**

Reviewer sign-off	
Reviewer name:	Rob Cherry
Reviewer job title:	SIRO
Reviewer contact details:	rob.cherry@hellopando.com
Date of review:	June 2024
Comments:	Update 26 <sup>th</sup> April 2024 to follow NHS guidance. Update 8 <sup>th</sup> June to alter DPIA into new NHS IG template.
Date for next review:	June 2025 or when a significant change is made to the Pando App.

Reviewer sign-off	
Reviewer name:	Claire Robinson
Reviewer job title:	Data Protection Officer
Reviewer contact details:	<a href="mailto:dpo@helloPando.com">dpo@helloPando.com</a>
Date of review:	April 2024
Comments:	Update 26 <sup>th</sup> April 2024 to follow NHS guidance. Update 8 <sup>th</sup> June to alter DPIA into new NHS IG template.
Date for next review:	June 2025 or when a significant change is made to the Pando App.



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